



# MONTHLY STATEMENT GUIDE

## PREMIUM SECURITIES\* ACCOUNT



### **Notice and Risk Warning**

Whilst every effort has been made to ensure that the information given in the Saturn Trader Monthly Statement Guide is accurate, no legal responsibility is accepted for any errors, omissions or statements. The information set out in this guide is provided at your sole risk and Spread Co Limited shall have no liability to you in the event that you suffer any loss, whether in contract, tort or negligence, either directly or indirectly, arising out of this guide or the information contained herein.

Premium Securities are derivatives and therefore carry a greater risk than more traditional investments such as dealing in shares. Although losses are limited to the amount invested, the value of a Premium Security is derived from the underlying market and this will fluctuate. Foreign markets involve different risks than UK markets, and, in some circumstances, these will be greater. The potential for profit or loss from transactions on foreign markets or in foreign currency denominated markets will be affected by fluctuations in foreign exchange rates.

Transactions in Premium Securities will not be undertaken on a recognised or designated investment exchange. During normal market hours and outside normal market hours, Spread Co will execute Premium Security orders at Spread Co's price. Spread Co acts as a "market maker" in these products in and out of market hours (see Spread Co's Terms of Business for market hours.) Closing trades will be traded at the price dictated by the spread quoted at the time of closing, irrespective of the spread at the time of the opening trade, which may be larger or smaller. No guarantee is given as to the spread at the time of closing. All Premium Security trades opened with Spread Co must be closed with Spread Co and cannot be closed with any other entity.

Trading in premium securities may not be appropriate for many members of the public. You should, therefore, consider carefully whether they are suitable for you in the light of your circumstances and financial resources.

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\*Patent Pending



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# 1. INTRODUCTION

The Spread Co Monthly Statements Guide provides a concise user guide on your monthly statement.

Each month, you will receive by e-mail, a monthly statement which contains details of your trading activity and any profits and losses that you have made in the month.

This Guide is designed to assist you to access and to understand the monthly statements.

If you have any questions or queries regarding the monthly statement, please do not hesitate to call the Anand Rathi help desk on +91-22-6626 6478 or email at [helpdesk.ps@rathi.com](mailto:helpdesk.ps@rathi.com)

## 2. ACCESSING YOUR STATEMENT

You will receive your monthly statement via e-mail each month (after the last trading day of the month) and, in addition, you can access your monthly statement (and previous monthly statements) via the Spread Co Trading Platform.

### 1. VIA EMAIL

You will receive a copy of your monthly statement by email each month. The monthly statement is created in PDF format and sent as an attachment to the email address which you have provided in your account opening form.

The monthly statement will display details of your investments, together with any ledger transaction in the month, and will be sent to you after 22.00 London time on the last business day of the month.

If you do not receive a monthly statement, please do not hesitate to call the Anand Rathi help desk on +91-22-6626 6478 or email at [helpdesk.ps@rathi.com](mailto:helpdesk.ps@rathi.com)

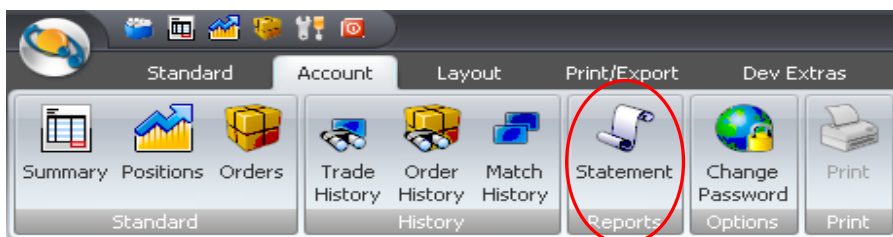
### 2. VIA THE TRADING PLATFORM

You may also retrieve your monthly statements in the Trading Platform

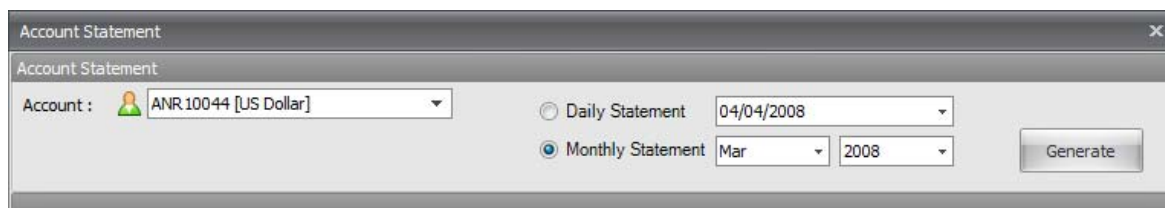
#### i. Log into the Saturn trader



#### ii. Click on the Account tab and click on the statement icon



- iii. Select the account which you wish to view the statement



- iv. Select monthly statement and click on the month you wish to retrieve. Please note that you may access the current month's monthly statement only after 22:00 London Time on the last business day of the month, after the statements have been created.
- v. If you have problems generating the statement on the Trading Platform, please do not hesitate to call the Anand Rathi help desk on +91-22-6626 6478 or email at [helpdesk.ps@rathi.com](mailto:helpdesk.ps@rathi.com)

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### 3. READING YOUR STATEMENT



Demo Client

MONTHLY STATEMENT OF ACCOUNT

Account No : ANR10029  
 Base Currency : US Dollar  
 Date : 31 Mar 2008

House No  
 Street  
 Town  
 State  
 Country

1. NAME AND ADDRESS

The top left hand corner of the monthly statement displays your name and address, as set out in your account opening form. If these details are incorrect, please notify Anand Rathi.

2. ACCOUNT NO

The **Account No** field displays your trading account number.

3. BASE CURRENCY

The **Base Currency** field displays the default or base currency of your trading account.

4. DATE

The **Date** field displays the date of the statement.

PREMIUM SECURITIES SECURITY AND INDEX POSITION (S) (OPEN POSITIONS)

Premium Securities Security & Index Position(s)

Time (GMT)	Instrument	Quantity Bought/ (Sold)	Investment Amount	Price Per Unit							Valuation
				Initial Trade Price	Fin. Adj.	Corp. Action Adj.	Adj. Trade Price	Closing	P/L	P/L USD	
<b>Total (USD)</b>											\$ 1,080.89
19 Dec 2007	BRITISH SKY BROADCASTING GROUP	1,000	\$ 1,197.77	594.7500000	-0.001090000	0.000000000	594.7510900	606.00	£ 0.1124891	\$ 0.2247307	\$ 1,422.50
<b>Total (USD)</b>											\$ 1,422.50
19 Dec 2007	BRITISH AIRWAYS	(100)	\$ 62.86	312.1200000	0.000200000	0.000000000	312.1202000	307.26	£ 0.0487020	\$ 0.0972969	\$ 72.59
<b>Total (USD)</b>											\$ 72.59
19 Dec 2007	MARKS AND SPENCER PLC	80	\$ 87.54	542.7500000	-0.001000000	0.000000000	542.7510000	542.25	(£ 0.0050100)	(\$ 0.0100000)	\$ 86.74
<b>Total (USD)</b>											\$ 86.74
19 Dec 2007	Rolls-Royce Group	50	\$ 51.31	509.2500000	-0.001000000	0.000000000	509.2510000	501.50	(£ 0.0775100)	(\$ 0.1548495)	\$ 43.57
<b>Total (USD)</b>											\$ 43.57
19 Dec 2007	CAC 40	(5)	\$ 789.44	5491.0000000	0.142000000	0.000000000	5491.1420000	5480	€ 11.1420000	\$ 16.0266528	\$ 869.57
<b>Total (USD)</b>											\$ 869.57
19 Dec 2007	S&P 500	(30)	\$ 875.76	1459.8000000	0.099000000	0.000000000	1459.8990000	1454.3	\$ 5.3990000	\$ 5.3990000	\$ 1,037.73
<b>Total (USD)</b>											\$ 1,037.73
18 Dec 2007	DOW JONES	2	\$ 528.80	13216.8350000	-1.830000000	0.000000000	13218.2650000	13196	(\$ 22.2650000)	(\$ 22.2650000)	\$ 484.07
<b>Total (USD)</b>											\$ 484.07



The **Security Position(s) (Open Positions)** section lists all the Security and Index positions which are still open in the trading day to which the monthly statement relates, as follows:

<b>Column</b>	<b>Description</b>
Time	The date at which the trade was executed
Instrument	The name of the market
Quantity Bought/(Sold)	Amount bought/sold for the Security and Index open position
Investment Amount	The Purchase price of the open position in the account's base currency
Initial Trade Price	The traded price of the open position
Fin Adj.	Financing charges or credits paid or received per unit
Corporate Action Adj	Dividends paid or received per unit
Adj Trade Price	The trade price after adjusting financing and dividends
Closing	Valuation price for the previous trading day (Closing Buy Price for Short Position and Closing Sell Price for Long Position)
P/L	Marked-To-Market profit/loss per unit in the instrument's denominated currency (The difference between the Closing Price and the Adjusted trade price)
P/L USD	Marked-To-Market profit/loss per unit in the account's base currency
Valuation	The Investment amount add/less total P/L in account base currency



## PREMIUM SECURITIES FX POSITION(S) (OPEN POSITIONS)

Premium Securities FX Position(s)

Time (GMT)	Instrument	Quantity Bought/(Sold)	Investment Amount	Price Per Unit						Valuation
				Initial Trade Price	Rollover Adj.	Adj. Trade Price	Closing	P/L	P/L USD	
19 Dec 2007	CHFJPY	(30,000)	\$ 519.62	97.9500000	0.000000000	97.9500000	98.16	(Y 0.2100000)	(\$ 0.0018520)	\$ 464.06
<b>Total (USD)</b>										<b>\$ 464.06</b>
18 Dec 2007	EURUSD	15,000	\$ 432.12	1.4409000	-0.000200050	1.4409201	1.4386	(\$ 0.0023201)	(\$ 0.0023201)	\$ 397.32
<b>Total (USD)</b>										<b>\$ 397.32</b>
19 Dec 2007	USDCHF	25,000	\$ 500.00	1.1548000	0.000000000	1.1548000	1.1553	SF0.0005000	\$ 0.0004328	\$ 510.82
<b>Total (USD)</b>										<b>\$ 510.82</b>
19 Dec 2007	USDSGD	(20,000)	\$ 400.00	1.4609000	0.000000000	1.4609000	1.4607	\$ 0.0002000	\$ 0.0001369	\$ 402.74
<b>Total (USD)</b>										<b>\$ 402.74</b>

The **FX (Open Positions)** section lists all the FX positions which are still open in the trading day to which the monthly statement relates, as follows:

Column	Description
Time	The date at which the trade was executed
Instrument	The name of the market
Quantity Bought/(Sold)	Amount bought/sold for the Security and Index open position
Investment Amount	The Purchase price of the open position in the account's base currency
Initial Trade Price	The traded price of the open position
Rollover Adj.	Rollover charges or credits paid or received per unit
Adj Trade Price	The trade price after adjusting rollover charges or credits
Closing	Valuation price for the previous trading day (Closing Buy Price for Short Position and Closing Sell Price for Long Position)
P/L	Marked-To-Market profit/loss per unit in the instrument's denominate currency (The difference between the Closing Price and the Adjusted trade price)
P/L USD	Marked-To-Market profit/loss per unit in the account's base currency
Valuation	The Investment amount add/less total P/L in account base currency

## PREMIUM SECURITIES COMMODITY POSITION(S) (OPEN POSITIONS)

Premium Securities Commodity Position(s)

Time (GMT)	Instrument	Quantity Bought/(Sold)	Investment Amount	Price Per Unit						Valuation
				Initial Trade Price	Fin. Adj.	Adj. Trade Price	Closing	P/L	P/L USD	
18 Dec 2007	SPOT GOLD	(10)	\$ 158.46	782.3000000	0.062000000	782.3620000	802.30	(\$ 9.9380000)	(\$ 9.9380000)	\$ 59.08
19 Dec 2007	SPOT GOLD	10	\$ 160.64	803.2000000	0.000000000	803.2000000	802.30	(\$ 0.0000000)	(\$ 0.0000000)	\$ 151.64
<b>Total (USD)</b>										<b>\$ 210.72</b>
19 Dec 2007	SPOT SILVER	(3,000)	\$ 841.20	14.0200000	0.000000000	14.0200000	14.15	(\$ 0.1300000)	(\$ 0.1300000)	\$ 451.20
<b>Total (USD)</b>										<b>\$ 451.20</b>

The **Commodity (Open Positions)** section lists all the Commodity positions which are still open in the trading day to which the monthly statement relates, as follows:

Column	Description
Time	The date at which the trade was executed
Instrument	The name of the market
Quantity Bought/(Sold)	Amount bought/sold for the Security and Index open position
Investment Amount	The Purchase price of the open position in the account's base currency
Initial Trade Price	The traded price of the open position
Fin Adj.	Financing charges or credits paid or received per unit
Adj Trade Price	The trade price after adjusting financing charges or credits
Closing	Valuation price for the previous trading day (Closing Buy Price for Short Position and Closing Sell Price for Long Position)
P/L	Marked-To-Market profit/loss per unit in the instrument's denominate currency (The difference



	between the Closing Price and the Adjusted trade price)
P/L USD	Marked-To-Market profit/loss per unit in the account's base currency
Valuation	The Investment amount add/less total P/L in account base currency

## CURRENCY LEDGER

### CURRENCY LEDGER ACTIVITY

Date	Description	Currency	Debit	Credit	Balance
19 Dec 2007	Purchase of Premium Securities M19D3179401H. (Sell 3000 SILVER@14.02)	USD	841.20		21,732.10
19 Dec 2007	Commission Debit - Sell SILVER 3000@ 14.02. (Ref:M19D3179401H)	USD	3.36		21,728.74
19 Dec 2007	Sale of Premium Security M18G2314101H with trade M19D3195501H. (Buy 20 S&P@1480.1)	USD		398.34	22,127.08
19 Dec 2007	Purchase of Premium Securities M19D3220801H. (Sell 30 S&P@1459.6)	USD	875.76		21,251.32
19 Dec 2007	Commission Debit - Sell S&P 30@ 1459.6. (Ref:M19D3220801H)	USD	3.50		21,247.82
19 Dec 2007	Purchase of Premium Securities M19I3460801H. (Sell 100 BAY@312.12)	USD	62.86		21,184.96
19 Dec 2007	Commission Debit - Sell BAY 100@ 312.12. (Ref:M19I3460801H)	USD	0.06		21,184.90
19 Dec 2007	Purchase of Premium Securities M19I3484101H. (Buy 1000 BSY@594.75)	USD	1,197.77		19,987.13
19 Dec 2007	Commission Debit - Buy BSY 1000@ 594.75. (Ref:M19I3484101H)	USD	0.96		19,986.17
19 Dec 2007	Purchase of Premium Securities M19I3554901H. (Buy 50 RR@509.25)	USD	51.31		19,934.86
19 Dec 2007	Commission Debit - Buy RR 50@ 509.25. (Ref:M19I3554901H)	USD	0.04		19,934.82
19 Dec 2007	Purchase of Premium Securities M19I3564101H. (Buy 80 MKS@642.75)	USD	87.54		19,847.28
19 Dec 2007	Commission Debit - Buy MKS 80@ 642.75. (Ref:M19I3564101H)	USD	0.08		19,847.20
19 Dec 2007	Purchase of Premium Securities M19I3599101H. (Buy 70 PRU@668.75)	USD	94.38		19,752.82
19 Dec 2007	Commission Debit - Buy PRU 70@ 668.75. (Ref:M19I3599101H)	USD	0.08		19,752.74
19 Dec 2007	Sale of Premium Security M19I3599101H with trade M19J128601H. (Sell 70 PRU@667.75)	USD		92.97	19,845.71
19 Dec 2007	Purchase of Premium Securities M19J0343201H. (Sell 5 CAC@549.1)	USD	789.44		19,056.27
19 Dec 2007	Commission Debit - Sell CAC 5@ 549.1. (Ref:M19J0343201H)	USD	3.16		19,053.11
19 Dec 2007	Purchase of Premium Securities M19J0628501H. (Sell 5 FTSE@6268)	USD	1,262.81		17,790.30
19 Dec 2007	Commission Debit - Sell FTSE 5@ 6268. (Ref:M19J0628501H)	USD	5.06		17,785.24
19 Dec 2007	Purchase of Premium Securities M19J3494401H. (Sell 30000 CHFJPY@97.95)	USD	519.62		17,265.62
19 Dec 2007	Commission Debit - Sell CHFJPY 30000@ 97.95. (Ref:M19J3494401H)	USD	2.08		17,263.54
19 Dec 2007	Purchase of Premium Securities M19J3840901H. (Buy 25000 USDCHF@1.1548)	USD	500.00		16,763.54
19 Dec 2007	Commission Debit - Buy USDCHF 25000@ 1.1548. (Ref:M19J3840901H)	USD	2.00		16,761.54
19 Dec 2007	Purchase of Premium Securities M19J3730201H. (Sell 20000 USD5GD@1.4609)	USD	400.00		16,361.54
19 Dec 2007	Commission Debit - Sell USD5GD 20000@ 1.4609. (Ref:M19J3730201H)	USD	1.60		16,359.94
19 Dec 2007	Purchase of Premium Securities M19J4220301H. (Buy 10 GOLD@803.20)	USD	160.84		16,199.30
19 Dec 2007	Commission Debit - Buy GOLD 10@ 803.20. (Ref:M19J4220301H)	USD	0.64		16,198.66
<b>C/F</b>		<b>USD</b>			<b>16,198.66</b>

Currency Ledgers show the summary of all receipts and payments in the month and the available balance after the total withdrawals and receipts. These transactions include investment amounts, sales proceeds, commission payable and tax on commission payable (if applicable).

Row	Description
Date	The date of the transaction
Description	The description of each transaction
Currency	The transaction currency
Debit	All withdrawals within the day
Credit	All receipts within the day
Balance	The available balance after total withdrawals and receipts.

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## ACCOUNT SUMMARY

ACCOUNT SUMMARY	USD Equivalent*
Opening Balance	22,842.22
Commission - Others	(25.84)
Purchases of Premium Securities	(7,649.61)
Sales of Premium Securities	1,031.89
<b>TOTAL EQUITY</b>	<b>16,198.66</b>

The Account Summary groups together the similar transactions in the currency ledger namely commissions, purchase prices and sales prices. The amount carried forward in the currency ledger and the total equity in the account summary will amount to be the same.

Row	Description
Opening Balance	The balance brought forward from last trading day.
Commission	The total commission paid in the trading day
Purchase of Premium Securities	The total investment amount debited for the day
Sales of Premium Securities	The total sales proceeds credited for the day
Total Equity	The summation of the above rows.

## VALUATION

### Valuation

Portfolio	Currency	Value
Valuation Premium Securities - Commodity	USD	661.92
Valuation Premium Securities - Currency	USD	2929.22
Valuation Premium Securities - Equity	USD	1625.40
Valuation Premium Securities - Index	USD	3472.26
<b>TOTAL Portfolio Valuation</b>		<b>6,688.80</b>

Valuation shows the total mark to market valuation of the portfolio in the account's base currency.

Row	Description
Valuation Premium Securities - Commodity	The value of the commodity open positions in the account's base currency (The total Investment amount of Commodity positions add/less P/L)
Valuation Premium Securities - Currency	The value of the FX open positions in the account's base currency (The total Investment amount of FX positions add/less P/L)
Valuation Premium Securities - Equity	The value of the Equity open positions in the account's base currency (The total Investment amount of Equity positions add/less total P/L)
Valuation Premium Securities - Index	The value of the index open positions in the account's base currency (The total Investment amount of index positions add/less total P/L)
Total Portfolio Valuation	The summation of Valuation for all instruments.

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